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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: Dutta et al.

§ Group Art Unit: 2654

MAR 02 2004

Serial No.: 09/383,742

§

§ Examiner: Edouard, Patrick Nestor Technology Center 2600

Filed: August 26, 1999

§

§ Attorney Docket No.: AT9-99-310

§

For: System and Method for  
Incorporating Semantic  
Characteristics into the Format-  
Driven Syntactic Document  
Transcoding Framework

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 24, 2004.

By:

Dell Whitton

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TC 2600**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

35525

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

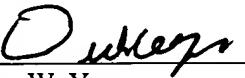
Sir:

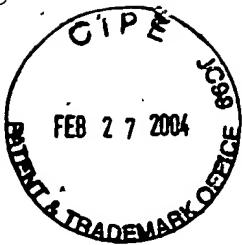
ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- Reference AA-AB; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

  
\_\_\_\_\_  
Duke W. Yee  
Registration No. 34,285  
CARSTENS, YEE & CAHOON, LLP  
P.O. Box 802334  
Dallas, Texas 75380  
(972) 367-2001  
ATTORNEY FOR APPLICANTS



#9

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Dutta et al.**

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Group Art Unit: **2654**Serial No.: **09/383,742**

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Examiner: **Edouard, Patrick Nestor**Filed: **August 26, 1999**

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Attorney Docket No.: **AT9-99-310**For: **System and Method for  
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MAR 02 2004

Technology Center 2600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality

background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement. No fee is required.

Respectfully submitted,

Date: 2/24/07

Duke W. Yee

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FEB 27 2004

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Page 1 of 1

Form PTO-1449

**LIST OF PRIOR ART CITED  
BY APPLICANT**  
*(Use several sheets if necessary)*
ATTORNEY DOCKET NO.  
**AT9-99-310**SERIAL NO.  
**09/383,742**APPLICANT **Dutta et al.**FILING DATE **August 26, 1999**GROUP ART UNIT **2654****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
					<b>RECEIVED</b>
					MAR 02 2004
					Technology Center 2600

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
AA	03-123965	27.05.1991	Japan	G06F 15/20	
AB	09-153065	10.06.1997	Japan	G06F 17/30	No

**OTHER PRIOR ART (including author, title, date, pertinent page, etc.)**


**RELATED PATENT APPLICATIONS**

EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.